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PTO/SB/17 (01-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 00.00

Complete if Known

Application Number 10/033,228
Filing Date 12/28/2001
First Name, Inventor Richard E. Smalley
Examiner Name Not Yet Assigned
Art Unit 1754
Attorney Docket No. 11321-P012USD10

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TC 1700

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number
Deposit Account Name

23-2426

Winstead Sechrest & Minick P.C.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$) 00.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 00.00

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	1052 50	2052 25	Surcharge - late filing fee or oath	
1053 130	1053 130	1812 2,520	1812 2,520	Surcharge - late provisional filing fee or cover sheet	
1804 920*	1804 920*	1805 1,840*	1805 1,840*	Non-English specification	
1805 1,840*	1805 1,840*	1251 110	2251 55	For filing a request for ex parte reexamination	
1251 110	2251 55	1252 410	2252 205	Requesting publication of SIR prior to Examiner action	
1252 410	2252 205	1253 930	2253 465	Requesting publication of SIR after Examiner action	
1253 930	2253 465	1254 1,450	2254 725	Extension for reply within first month	
1254 1,450	2254 725	1255 1,970	2255 985	Extension for reply within second month	
1255 1,970	2255 985	1401 320	2401 160	Extension for reply within third month	
1401 320	2401 160	1402 320	2402 160	Extension for reply within fourth month	
1402 320	2402 160	1403 280	2403 140	Extension for reply within fifth month	
1403 280	2403 140	1451 1,510	1451 1,510	Notice of Appeal	
1451 1,510	1451 1,510	1452 110	2452 55	Filing a brief in support of an appeal	
1452 110	2452 55	1453 1,300	2453 650	Request for oral hearing	
1453 1,300	2453 650	1501 1,300	2501 650	Petition to institute a public use proceeding	
1501 1,300	2501 650	1502 470	2502 235	Petition to revive - unavoidable	
1502 470	2502 235	1503 630	2503 315	Petition to revive - unintentional	
1503 630	2503 315	1460 130	1460 130	Utility issue fee (or reissue)	
1460 130	1460 130	1807 50	1807 50	Design issue fee	
1807 50	1807 50	1806 180	1806 180	Plant issue fee	
1806 180	1806 180	8021 40	8021 40	Petitions to the Commissioner	
8021 40	8021 40	1809 750	2809 375	Processing fee under 37 CFR 1.17(q)	
1809 750	2809 375	1810 750	2810 375	Submission of Information Disclosure Stmt	
1810 750	2810 375	1801 750	2801 375	Recording each patent assignment per property (times number of properties)	
1801 750	2801 375	1802 900	1802 900	Filing a submission after final rejection (37 CFR 1.129(a))	
1802 900	1802 900			For each additional invention to be examined (37 CFR 1.129(b))	
				Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 00.00

SUBMITTED BY

Name (Print/Type) Ross Spencer Garson

Registration No. 38,150
(Attorney/Agent)

(Complete if applicable)

Telephone 512/370-2870

Signature

Date

5/7/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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1754

PTO/SB/21 (08-00)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/033,228	
	Filing Date	12/28/2001	
	First Named Inventor	Richard E. Smalley	
	Group Art Unit	1754	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission		47	
		Attorney Docket Number	11321-P012USD10

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postal Receipt Card
Remarks Power of Attorney: Supplemental Declaration and Power of Attorney for Patent Application (45 pages/10 signatures)		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Ross Spencer Garsson, Winstead Sechrest & Minick P.C.
Signature	
Date	05/08/2003

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CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 8, 2003			
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Signature		Date	05/08/2003

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